

# Word problems and formal language theory

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Happy birthday to Jorge and Gracinda!

## Generating sets

If  $\Sigma$  is a finite set of symbols we let  $\Sigma^*$  denote the set of all finite words of symbols from  $\Sigma$  (including the empty word  $\epsilon$ ). If we only want to consider non-empty words we denote the resulting set by  $\Sigma^+$ .

$\Sigma^+$  is the *free semigroup* on  $\Sigma$  and  $\Sigma^*$  is the *free monoid* on  $\Sigma$ .

If we have a group  $G$  (or a monoid  $M$ ) with a finite set of generators  $\Sigma$ , then we have a natural homomorphism  $\varphi : \Sigma^* \rightarrow G$  (or  $\varphi : \Sigma^* \rightarrow M$ ).

For a semigroup  $S$  generated by a finite set  $\Sigma$  we have a natural homomorphism  $\varphi : \Sigma^+ \rightarrow S$ .

## Word problems

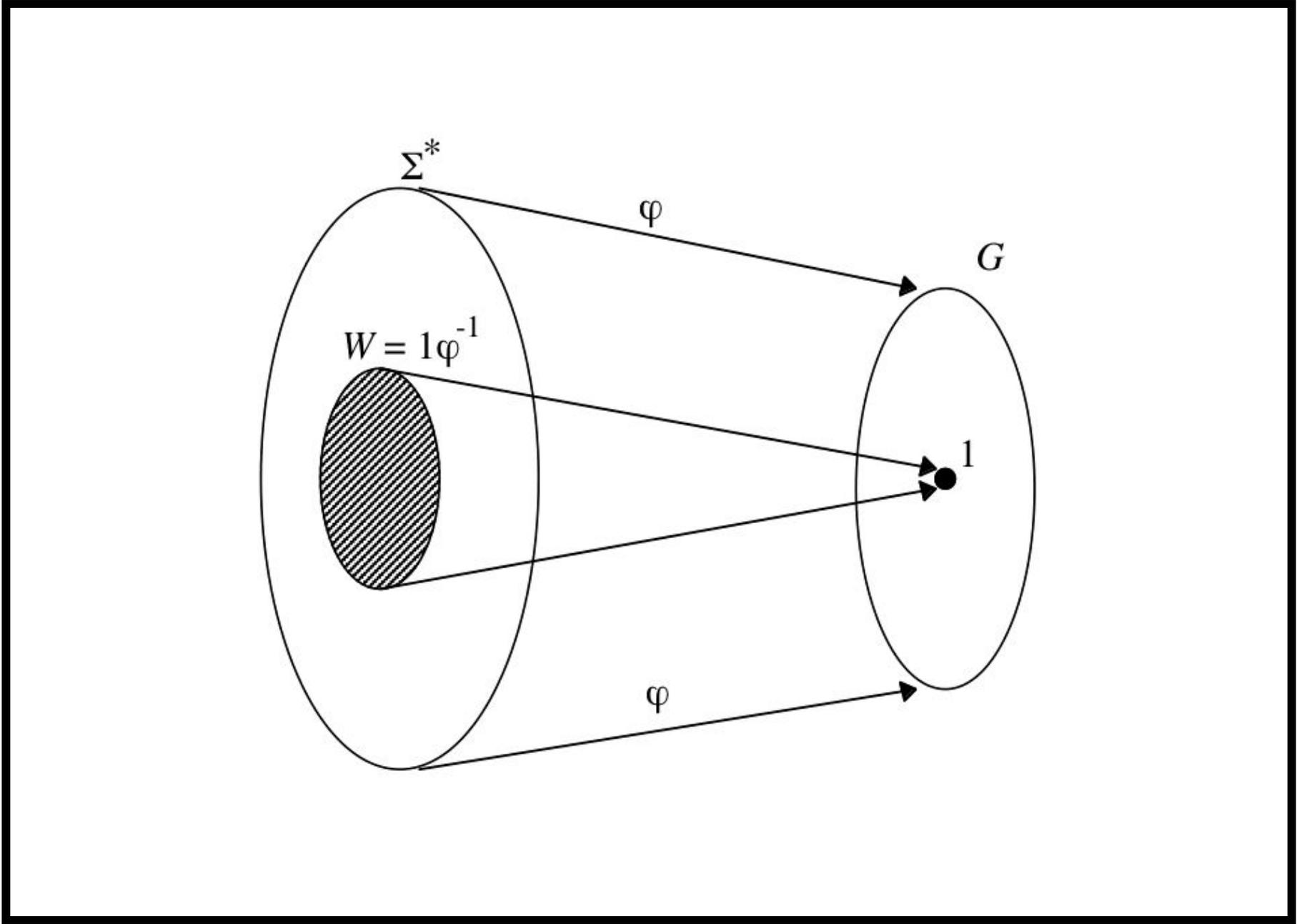
The *word problem* in such a structure is the following question:

**Input:** Two words  $\alpha$  and  $\beta$  in  $\Sigma^*$  (or  $\Sigma^+$  in the case of a semigroup);

**Output:** **Yes** if  $\alpha$  and  $\beta$  represent the same element of the group (monoid, semigroup);

**No** otherwise.

In a group, given a word  $\beta$  representing an element  $g$ , let  $\gamma$  be a word representing  $g^{-1}$ . Now  $\alpha$  and  $\beta$  represent the same element of the group if and only if  $\alpha\gamma$  represents the identity.



## Word problems

Given this, we can define the *word problem*  $W = W(G)$  of a finitely generated group  $G$  to be the set of all words in  $\Sigma^*$  that represent the identity element of  $G$ . (This is not appropriate for monoids and does not make sense in semigroups.)

In this way, we can think of the word problem of a group as being a formal language.

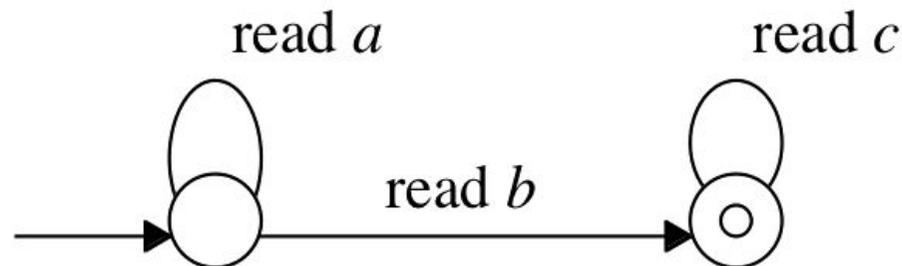
We will focus on some relatively simple classes of languages, the *regular languages*, the *one-counter languages* and the *context-free languages*.

Saying that the word problem of a group is regular (or one-counter or context-free) does not depend on the choice of finite generating set.

# Automata

We can define classes of languages using various notions of “automata”.

*Regular languages* are accepted by *finite automata*.

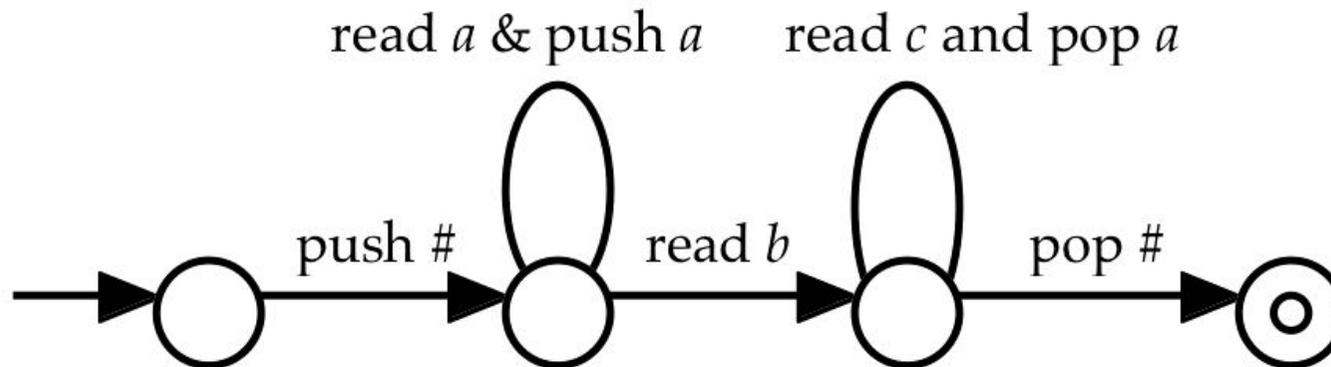


A word is *accepted* if we reach an “accept state” after reading the word.

The *language*  $L(M)$  of  $M$  is the set of all words accepted by  $M$ .

## Pushdown automata

*Context-free languages* are accepted by *pushdown automata* where we add a “stack” to the machine.



If we restrict to one stack symbol (apart from a fixed bottom marker #) we have a *one-counter language*.

An automaton is said to be *deterministic* if there can never be a possibility of choice as regards to which move to make.

## Word problems of groups

If  $G$  is a finitely generated group, then  $W(G)$  is regular if and only if  $G$  is finite. (Anisimov)

If  $G$  is a finitely generated group, then  $W(G)$  is context-free if and only if  $G$  is virtually free. (Muller & Schupp)

As a consequence, if  $W(G)$  is context-free, then it is deterministic context-free.

If  $G$  is a finitely generated group, then  $W(G)$  is a one-counter language if and only if  $G$  is virtually cyclic. (Herbst)

## Word problems of groups

The following are equivalent for a finitely generated group  $G$  and  $n \geq 1$ :

- (i) The word problem of  $G$  is the intersection of  $n$  one-counter languages.
- (ii) The word problem of  $G$  is the intersection of  $n$  deterministic one-counter languages.
- (iii)  $G$  is virtually abelian of free abelian rank  $\leq n$ . (Holt, Owens & Thomas)

$G$  being virtually abelian is also equivalent to the word problem of  $G$  being a Petri net language. (Rino Nesin & Thomas)

**Conjecture.** The word problem of a finitely generated group  $G$  is the intersection of  $n$  context-free languages (for some  $n$ ) if and only if  $G$  is virtually a finitely generated subgroup of a direct product of free groups.  
(Brough)

## Word problems of groups

A language  $L$  over an alphabet  $\Sigma$  is the word problem of a group with generating set  $\Sigma$  if and only if  $L$  satisfies the following two conditions:

(W1) for all  $\alpha \in \Sigma^*$  there exists  $\beta \in \Sigma^*$  such that  $\alpha\beta \in L$ ;

(W2) if  $\alpha\delta\beta \in L$  and  $\delta \in L$  then  $\alpha\beta \in L$ . (Parkes & Thomas)

As a consequence of the Muller-Schupp classification we have:

If  $L$  is a context-free language satisfying (W1) and (W2) then  $L$  is deterministic context-free.

There are many other such classifications and associated decidability results. (Jones & Thomas)

## Decidability

There is no algorithm that, given a context-free language  $L$ , will decide whether or not  $L$  is the word problem of a group. (Lakin & Thomas)

This can be generalized to the fact that there is no algorithm that, given a one-counter language  $L$ , will decide whether or not  $L$  is the word problem of a group. (Jones & Thomas)

However, there is an algorithm that, given a deterministic context-free language  $L$ , will decide whether or not  $L$  is the word problem of a group. (Jones & Thomas)

## Word problems of semigroups

Duncan and Gilman proposed the following definition of the word problem for a semigroup  $S$  generated by a finite set  $A$ :

$$W(S) = \{\alpha\#\beta^{\text{rev}} : \alpha, \beta \in A^+, \alpha =_S \beta\}.$$

This is a natural generalization of the word problem of a group  $G$  which was

$$W(G) = \{\alpha\beta^{-1} : \alpha, \beta \in A^*, \alpha =_G \beta\}.$$

In this way, we can consider the word problem of a semigroup as a formal language.

If  $S$  is a finitely generated semigroup, then  $W(S)$  is regular if and only if  $S$  is finite. (Duncan & Gilman)

## One-counter word problems

If a finitely generated semigroup  $S$  has word problem a one-counter language, then  $S$  has a linear growth function. (Holt, Owens & Thomas)

If  $S$  is a finitely generated semigroup with a linear growth function then there exist finitely many elements  $a_i, b_i, c_i \in S \cup \{\epsilon\}$  such that every element of  $S$  is represented by a word of the form  $a_i b_i^n c_i$  for some  $i$  and some  $n \geq 0$ . (Holt, Owens & Thomas)

For context-free word problems in semigroups there are some partial results (Hoffmann, Holt, Owens & Thomas) but we are far from a classification.

Thank you!