

# Crystal monoids and crystal bases

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Celebrating the 60th birthdays of  
Jorge Almeida and Gracinda Gomes



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<sup>1</sup>(joint work with A. J. Cain and A. Malheiro)



Parabéns!

Gracinda e Jorge :-)

## The importance of conferences

- ▶ July 2011: *Groups and Semigroups: Interactions and Computations, Lisbon.*

Efim Zelmanov asked: Can finite state automata be used to compute efficiently with Plactic monoids?

This led A. J. Cain, A. Malheiro and me to get interested in Plactic monoids and algebras.

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- ▶ June 2013: *Geometric, Combinatorial & Dynamics Aspects of Semigroups and Groups, On the occasion of the 60th birthday of Stuart Margolis Bar-Ilan, Israel.*

Anne Schilling pointed out connections with **crystal basis theory** (in the sense of [Kashiwara \(1990\)](#)).

# Plactic monoid

Let  $\mathcal{A}_n$  be the finite ordered alphabet  $\{1 < 2 < \dots < n\}$ .

I want to give three different ways of defining a certain equivalence relation  $\sim$  on the free monoid  $\mathcal{A}_n^*$  of all words:

1. Presentation (Knuth relations)
2. Tableaux (Schensted insertion algorithm)
3. Crystal bases (in the sense of Kashiwara)

We call  $\sim$  the **Plactic congruence** and the resulting quotient monoid  $\text{Pl}(\mathcal{A}_n) = \mathcal{A}_n^* / \sim$  is called the **Plactic monoid** (of rank  $n$ ).

# The Plactic monoid

- ▶ Has origins in work of [Schensted \(1961\)](#) and [Knuth \(1970\)](#) concerned with combinatorial problems on Young tableaux.
- ▶ Later studied in depth by [Lascoux and Shützenberger \(1981\)](#).

Due to close relations to Young tableaux, has become a tool in several aspects of representation theory and algebraic combinatorics.

## Applications of the Plactic monoid

- ▶ proof of Littlewood–Richardson rule for Schur functions (an important result in the theory of symmetric functions)
  - ▶ appendix of [J. A. Green's](#) “Polynomial representations of  $GL_n$ ”.
- ▶ combinatorial description of Kostka–Foulkes polynomials, which arise as entries of the character table of the finite linear groups.

## [M. P. Schützenberger ‘Pour le monoïde plaxique’ \(1997\)](#)

Argues that the Plactic monoid ought to be considered as “one of the most fundamental monoids in algebra”.

# Plactic monoid via Knuth relations

## Definition

Let  $\mathcal{A}_n$  be the finite ordered alphabet  $\{1 < 2 < \dots < n\}$ .

Let  $\mathcal{R}$  be the set of defining relations:

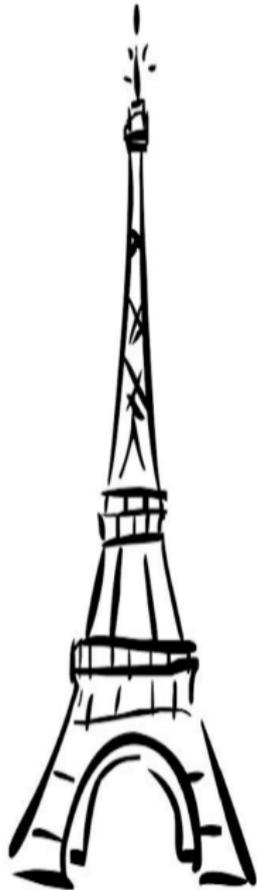
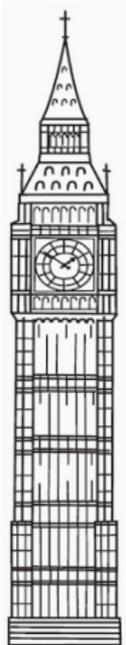
$$\begin{array}{lll} zxy = xzy & \text{and} & yzx = yxz & x < y < z, \\ xyx = xxy & \text{and} & xyy = yxy & x < y. \end{array}$$

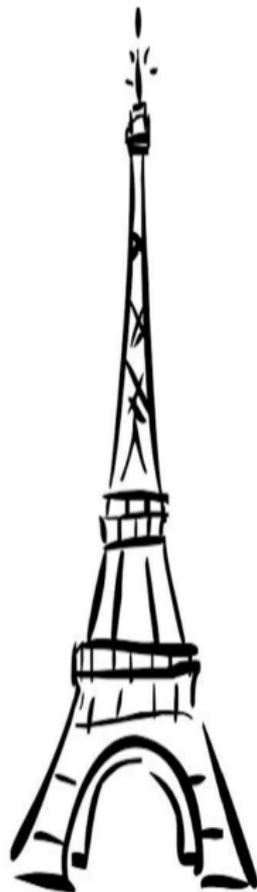
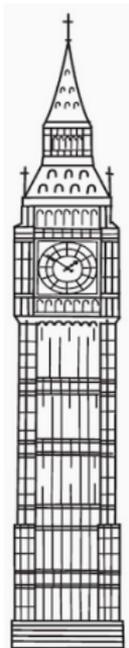
The **Plactic monoid**  $\text{Pl}(\mathcal{A}_n)$  is defined by the presentation  $\langle \mathcal{A}_n | \mathcal{R} \rangle$ .

$\text{Pl}(\mathcal{A}_n) = \mathcal{A}_n^* / \sim$  where  $\sim$  is the smallest congruence on the free monoid  $\mathcal{A}_n^*$  containing  $\mathcal{R}$ .

$$\text{e.g. } 212313 \sim 212133$$

- ▶ This is the most efficient way to define the Plactic congruence  $\sim$ .
- ▶ The relations in this presentation are called the **Knuth relations**.





## A (semi-standard) tableau

|   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|
| 1 | 1 | 1 | 2 | 2 | 4 | 4 |
| 2 | 2 | 3 | 3 |   |   |   |
| 4 | 5 | 5 | 6 |   |   |   |
| 6 | 8 |   |   |   |   |   |

### Properties

- ▶ Is a filling of the Young diagram with symbols from  $\mathcal{A}_n$ .
- ▶ Rows read left-to-right are non-decreasing.
- ▶ Columns read down are strictly increasing.
- ▶ Longer rows are above shorter rows.

## Schensted column insertion algorithm

- ▶ Associates to each word  $w \in \mathcal{A}_n^*$  a tableau  $P(w)$ .
- ▶ The algorithm which produces  $P(w)$  is recursive.

**Input:** Any letter  $x \in \mathcal{A}_n$  and a tableau  $T$ .

**Output:** A new tableau denoted  $x \rightarrow T$ .

**The idea:** Suppose  $T = C_1 C_2 \dots C_r$  where  $C_i$  are the columns of  $T$ .

- ▶ We try to insert the box  $\boxed{x}$  under the column  $C_1$  if we can.
- ▶ If this fails, the box  $\boxed{x}$  will be put into column  $C_1$  higher up and will “bump out” to the right a box  $\boxed{y}$  where  $y$  is the minimal letter in  $C_1$  such that  $x \leq y$ .
- ▶ We then take the bumped out box  $\boxed{y}$  and try and insert it under the column  $C_2$ , and so on...

# Schensted's column insertion algorithm

## Example

$\mathcal{A}_4 = \{1 < 2 < 3 < 4\}$  if  $w = 232143$  then  $P(w)$  is obtained as:

$\boxed{2}$ ,

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**Observation:**  $231 = 213$  is a Knuth relation and  $P(231) = P(213)$

$$\boxed{2}, \begin{array}{|c|} \hline 2 \\ \hline 3 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} = P(231), \quad \boxed{2}, \boxed{1 \ 2}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} = P(213).$$

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## Theorem (Lascoux and Shützenberger (1981))

Define a relation  $\sim$  on  $\mathcal{A}_n^*$  by  $u \sim w \Leftrightarrow P(u) = P(w)$ . Then  $\sim$  is the Plactic congruence and  $\text{Pl}(\mathcal{A}_n) = \mathcal{A}_n^* / \sim$  is the Plactic monoid.

## The Plactic monoid via tableaux

$w(T)$  = the word obtained by reading the columns of a tableau  $T$  from right to left and top to bottom (Japanese reading).

**Example:** If  $T = \begin{array}{|c|c|c|} \hline 1 & 1 & 4 \\ \hline 2 & 5 & \\ \hline 3 & & \\ \hline \end{array}$  then  $w(T) = 415123$ .

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**Theorem (Lascoux and Shützenberger (1981))**

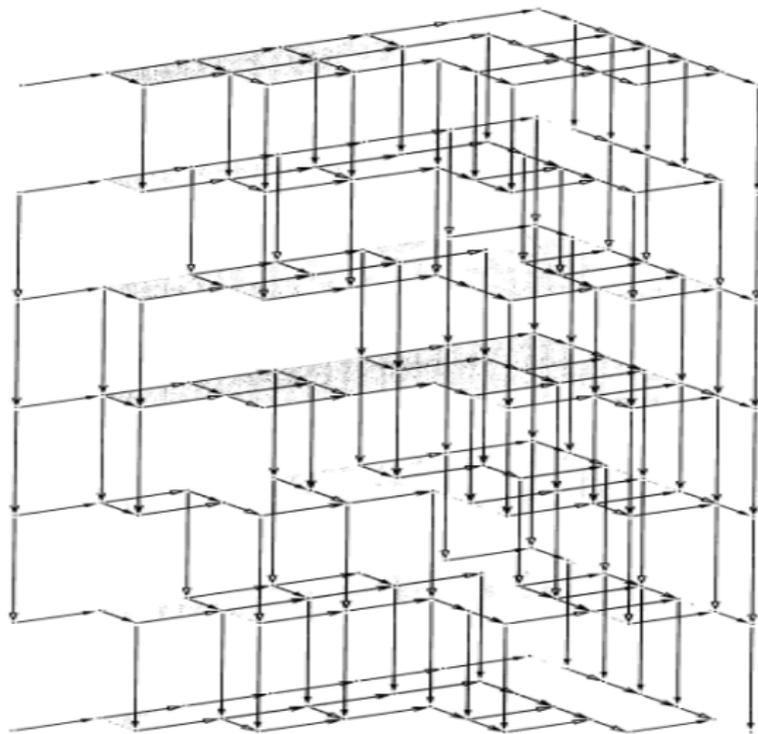
The set of word readings of tableaux gives a transversal (a set of normal forms) of the  $\sim$ -classes of the Plactic monoid.

**Conclusion:** The Plactic monoid is the monoid of tableaux:

**Elements** The set of all tableaux over  $\mathcal{A}_n = \{1 < 2 < \dots < n\}$ .

**Products** Computed using Schensted insertion.

# Crystals



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<sup>2</sup>Fig 8.4 from Hong and Kang's book *An introduction to quantum groups and crystal bases*.

# Crystal graphs

(following Kashiwara and Nakashima (1994))

**Idea:** Define a directed labelled digraph  $\Gamma_{A_n}$  with the properties:

- ▶ Vertex set =  $\mathcal{A}_n^*$
- ▶ Each directed edge is labelled by a symbol from the label set  $I = \{1, 2, \dots, n-1\}$ .
- ▶ For each vertex  $u \in \mathcal{A}_n^*$  every  $i \in I$  there is at most one directed edge labelled by  $i$  leaving  $u$ , and at most one entering  $u$

$$u \xrightarrow{i} v, \quad w \xrightarrow{i} u$$

- ▶ If  $u \xrightarrow{i} v$  then  $|u| = |v|$ , so words in the same component have the same length as each other. In particular, connected components are all finite.

## Building the crystal graph $\Gamma_{A_n}$

$$\mathcal{A}_n = \{1 < 2 < \dots < n\}$$

We begin by specifying structure on the words of length one

$$1 \xrightarrow{1} 2 \xrightarrow{2} \dots \xrightarrow{n-2} n-1 \xrightarrow{n-1} n$$

This is known as a **Crystal basis**.

### Kashiwara operators

For each  $i \in \{1, \dots, n-1\}$  we define partial maps  $e_i$  and  $f_i$  on the letters  $\mathcal{A}_n$  called the **Kashiwara crystal graph operators**. For each edge

$$a \xrightarrow{i} b,$$

we define  $f_i(a) = b$  and  $e_i(b) = a$ .

## Kashiwara operators on words

Let  $u \in \mathcal{A}_n^*$  and  $i \in I$ .

**Question:** Are either / both of the following edges in  $\Gamma_{\mathcal{A}_n}$ ?

$$u \xrightarrow{i} f_i(u), \quad e_i(u) \xrightarrow{i} u$$

**Algorithm:**

- ▶ Under each letter  $a$  of  $w$  write
  - ▶  $+$  if  $f_i(a)$  is defined, and
  - ▶  $-$  if  $e_i(a)$  is defined.
- ▶ Take this string of  $-$ 's and  $+$ 's and delete all adjacent  $+ -$ .
- ▶ The resulting string is then of the form  $-^q +^r$ .
- ▶  $f_i(w)$ : obtained by applying  $f_i$  to the letter  $a$  above the leftmost remaining  $+$ , if it exists, otherwise is undefined.
- ▶  $e_i(w)$ : obtained by applying  $e_i$  to the letter  $a$  above the rightmost remaining  $-$ , if it exists, otherwise is undefined.

## Example: Computation of $e_i(u)$ and $f_i(u)$

$$1 \xrightarrow{1} 2 \xrightarrow{2} 3$$

$$a \xrightarrow{i} f_i(a), \quad e_i(b) \xrightarrow{i} b$$

### Example

Let  $u = 33212313232$  and let  $i = 2 \in I = \{1, 2\}$ .

3 3 2 1 2 3 1 3 2 3 2

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$$\begin{array}{cccccccccccc} 3 & 3 & 2 & 1 & 2 & 3 & 1 & 3 & 2 & 3 & 2 \\ & & + & & + & & & & + & & + \end{array}$$

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|   |   |              |   |              |              |   |              |              |              |   |
|---|---|--------------|---|--------------|--------------|---|--------------|--------------|--------------|---|
| 3 | 3 | 2            | 1 | 2            | 3            | 1 | 3            | 2            | 3            | 2 |
| - | - | +            |   | +            | -            |   | -            | +            | -            | + |
| - | - | <del>+</del> |   | <del>+</del> | <del>-</del> |   | <del>-</del> | <del>+</del> | <del>-</del> | + |



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$$\begin{array}{cccccccccccc}
 3 & 3 & 2 & 1 & 2 & 3 & 1 & 3 & 2 & 3 & 2 \\
 - & - & + & & + & - & & - & + & - & + \\
 - & - & \cancel{+} & & \cancel{+} & \cancel{-} & & \cancel{-} & \cancel{+} & \cancel{-} & + \\
 - & - & & & & & & & & & +
 \end{array}$$

$$3 \ 3 \ 2 \ 1 \ 2 \ 3 \ 1 \ 3 \ 2 \ 3 \ 3 = f_2(u)$$

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|   |   |              |   |              |              |   |              |              |              |   |
|---|---|--------------|---|--------------|--------------|---|--------------|--------------|--------------|---|
| 3 | 3 | 2            | 1 | 2            | 3            | 1 | 3            | 2            | 3            | 2 |
| - | - | +            |   | +            | -            |   | -            | +            | -            | + |
| - | - | <del>+</del> |   | <del>+</del> | <del>-</del> |   | <del>-</del> | <del>+</del> | <del>-</del> | + |
| - | - |              |   |              |              |   |              |              |              | + |

|   |          |   |   |   |   |   |   |   |   |                     |
|---|----------|---|---|---|---|---|---|---|---|---------------------|
| 3 | 3        | 2 | 1 | 2 | 3 | 1 | 3 | 2 | 3 | <b>3</b> = $f_2(u)$ |
| 3 | <b>2</b> | 2 | 1 | 2 | 3 | 1 | 3 | 2 | 3 | <b>2</b> = $e_2(u)$ |

# The crystal graph $\Gamma_{A_n}$

## Definition

The **crystal graph**  $\Gamma_{A_n}$  is the directed labelled graph with:

- ▶ Vertex set:  $\mathcal{A}_n^*$
- ▶ Directed labelled edges: for  $u \in \mathcal{A}_n^*$

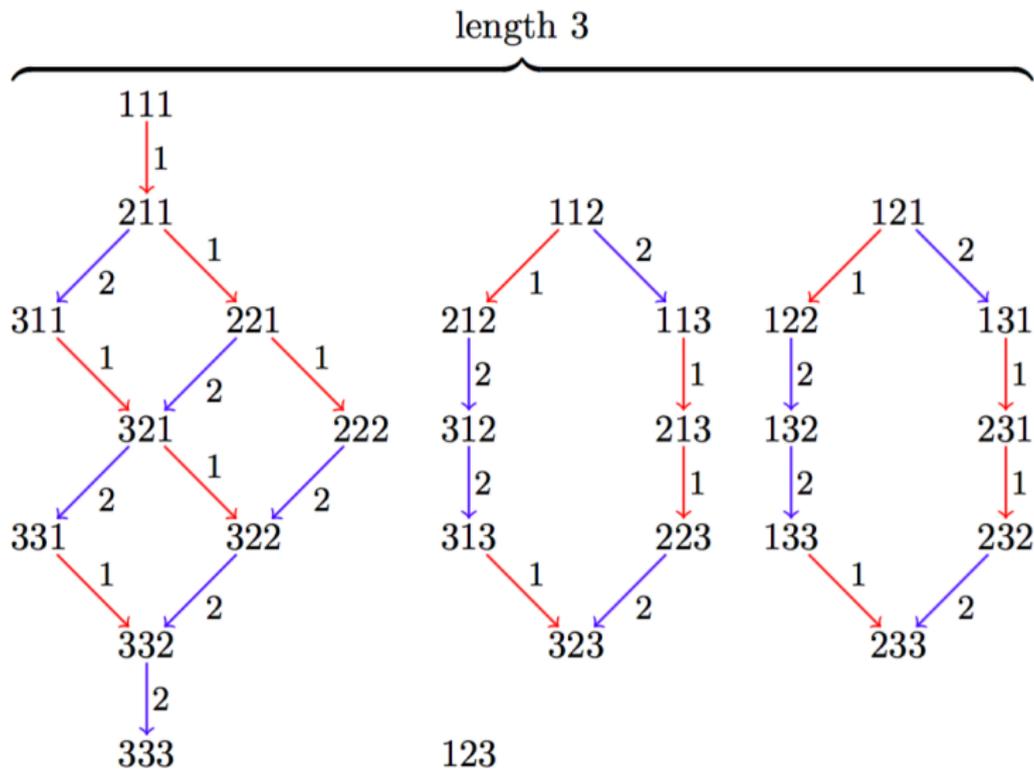
$$u \xrightarrow{i} f_i(u) , \quad e_i(u) \xrightarrow{i} u$$

## Notes

- ▶ When defined  $e_i(f_i(u)) = u$  and  $f_i(e_i(u)) = u$ .
- ▶ It follows from the definition that (when defined) we have  $e_i(u) = u' e_i(a) u''$  for some decomposition  $u \equiv u' a u''$  where  $a$  is a single letter.



# Part of the crystal graph for $\mathcal{A}_3 = \{1 < 2 < 3\}$



## Plactic monoid via crystals

**Definition:** Two connected components  $B(w)$  and  $B(w')$  of  $\Gamma_{A_n}$  are **isomorphic** if there is a label-preserving digraph isomorphism  $f : B(w) \rightarrow B(w')$ .

**Fact:** In  $\Gamma_{A_n}$  if  $B(w) \cong B(w')$  then there is a unique isomorphism  $f : B(w) \rightarrow B(w')$ .

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**Theorem (Kashiwara and Nakashima (1994))**

Let  $\Gamma_{A_n}$  be the crystal graph with crystal basis

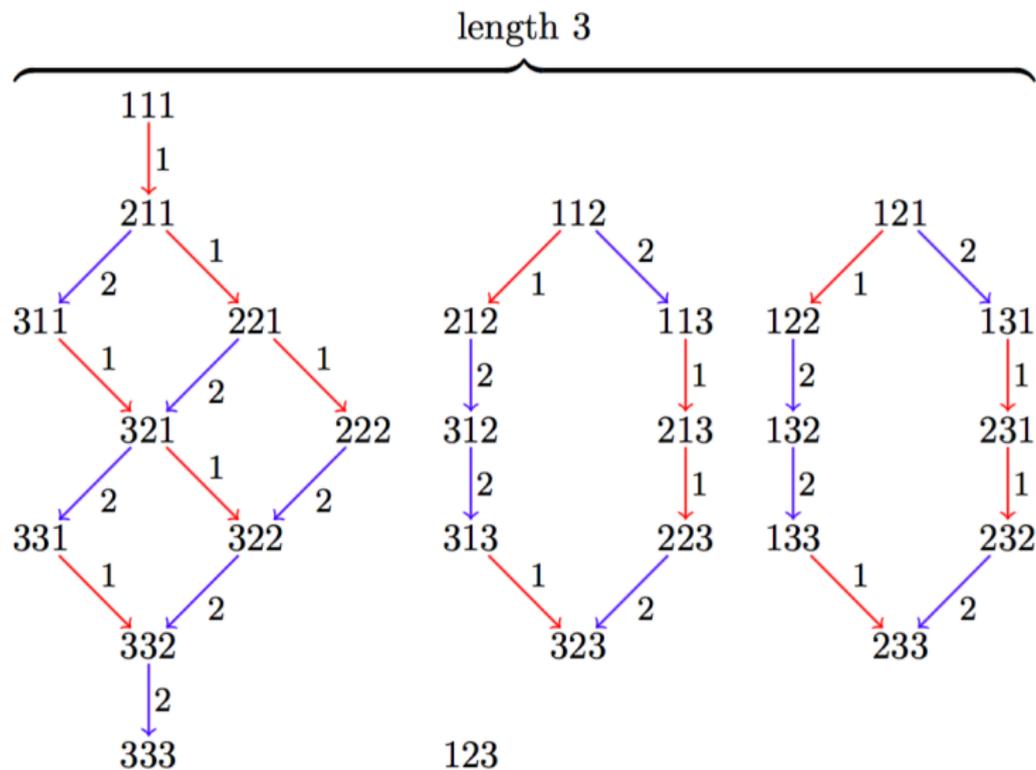
$$1 \xrightarrow{1} 2 \xrightarrow{2} \cdots \xrightarrow{n-2} n-1 \xrightarrow{n-1} n$$

Define a relation  $\sim$  on  $\mathcal{A}_n^*$  by

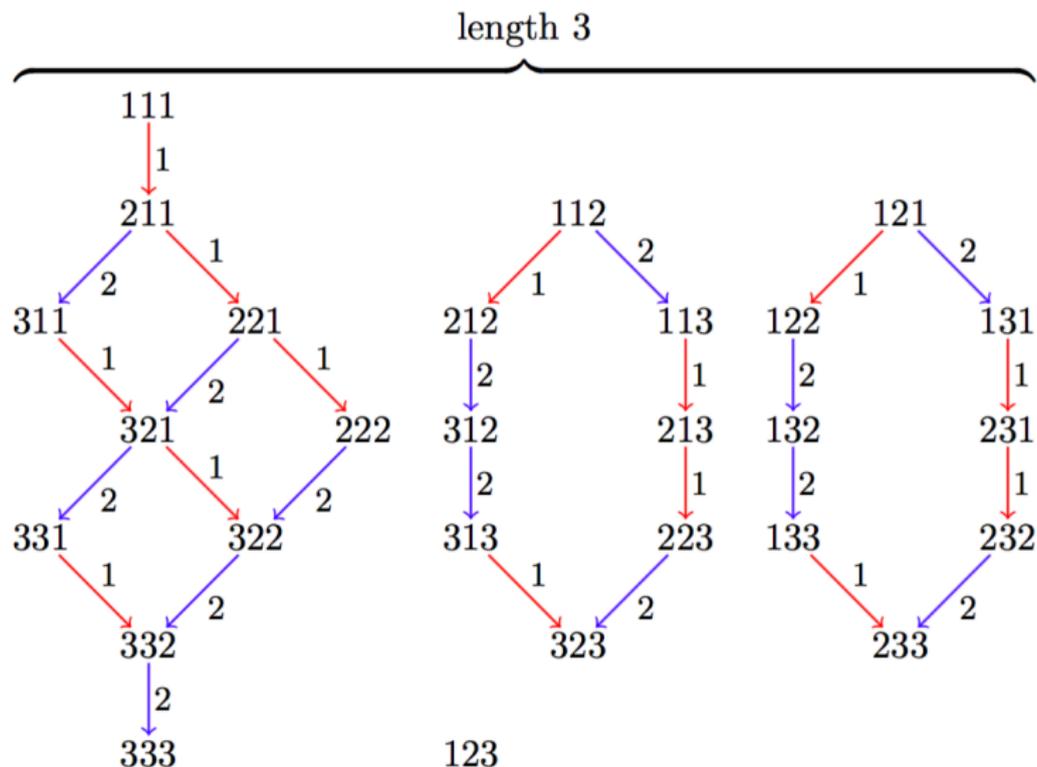
$$u \sim w \Leftrightarrow \exists \text{ an isomorphism } f : B(u) \rightarrow B(w) \text{ with } f(u) = w.$$

Then  $\sim$  is the Plactic congruence and  $\text{Pl}(A_n) = \mathcal{A}_n^* / \sim$  is the Plactic monoid.

# Knuth relations via crystal isomorphisms

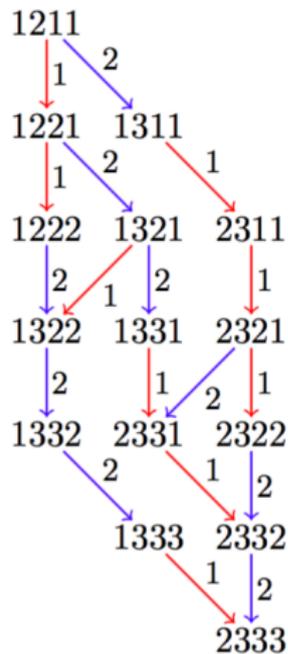
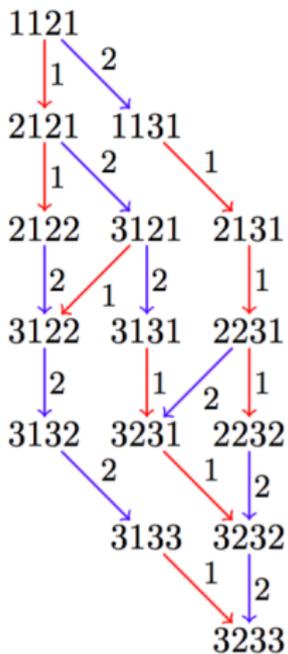
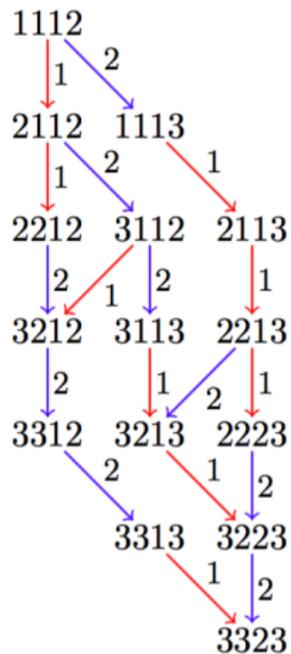


# Knuth relations via crystal isomorphisms<sup>3</sup>



<sup>3</sup>(**Confession:** I lied a bit. Actually, crystal isomorphisms must also preserve “weight”. For  $\text{Pl}(A_n)$  weight preserving means “content preserving”.)

Three isomorphic components for  $\mathcal{A}_3 = \{1 < 2 < 3\}$ .



2113, 2131, and 2311 all represent the same element.

# Where do crystals come from?



J. Hong, S.-J. Kang,

Introduction to Quantum Groups and Crystal Bases.

Stud. Math., vol. 42, Amer. Math. Soc., Providence, RI, 2002.

- ▶ Take a “nice” Lie algebra  $\mathfrak{g}$ . Nice means symmetrizable Kac-Moody Lie algebra e.g. a finite-dimensional semisimple Lie algebra.
- ▶ From  $\mathfrak{g}$  construct its universal enveloping algebra  $U(\mathfrak{g})$  which is an associative algebra.
- ▶ **Drinfeld and Jimbo (1985)**: defined  $q$ -analogues  $U_q(\mathfrak{g})$ , quantum deformations, with parameter  $q$ 
  - ▶  $q = 1$ :  $U_q(\mathfrak{g})$  coincides with  $U(\mathfrak{g})$
  - ▶  $q = 0$ : is called crystallisation (**Kashiwara (1990)**).

# Where do crystals come from?

- ▶ **Crystal bases** are bases of  $U_q(\mathfrak{g})$ -modules at  $q = 0$  that satisfy certain axioms.
  - ▶ **Kashiwara (1991)**: proves existence and uniqueness of crystal bases of finite dimensional representations of  $U_q(\mathfrak{g})$ .
- ▶ Every crystal basis has the structure of a **coloured digraph (called a crystal graph)**. The structure of these coloured digraphs has been explicitly determined for certain semisimple Lie algebras (special linear, special orthogonal, symplectic, some exceptional types).
- ▶ The crystal constructed from the crystal basis using Kashiwara operators is then a useful combinatorial tool for studying representations of  $U_q(\mathfrak{g})$ .
  - ▶ e.g. For decomposing tensor products of  $U_q(\mathfrak{g})$ -modules.

# Crystal bases and crystal monoids

Lie algebra  
type

Crystal basis

Monoid

$$A_n: \mathfrak{sl}_{n+1} \quad 1 \xrightarrow{1} 2 \xrightarrow{2} \cdots \xrightarrow{n-2} n-1 \xrightarrow{n-1} n \quad \text{Pl}(A_n)$$

$$B_n: \mathfrak{so}_{2n+1} \quad 1 \xrightarrow{1} 2 \xrightarrow{2} \cdots \xrightarrow{n-1} n \xrightarrow{n} 0 \xrightarrow{n} \bar{n} \xrightarrow{n-1} \cdots \xrightarrow{2} \bar{2} \xrightarrow{1} \bar{1} \quad \text{Pl}(B_n)$$

$$C_n: \mathfrak{sp}_{2n} \quad 1 \xrightarrow{1} 2 \xrightarrow{2} \cdots \xrightarrow{n-1} n \xrightarrow{n} \bar{n} \xrightarrow{n-1} \cdots \xrightarrow{2} \bar{2} \xrightarrow{1} \bar{1} \quad \text{Pl}(C_n)$$

$$D_n: \mathfrak{so}_{2n} \quad 1 \xrightarrow{1} 2 \xrightarrow{2} \cdots \xrightarrow{n-2} n-1 \begin{array}{l} \nearrow \bar{n} \\ \searrow n \end{array} \begin{array}{l} \nearrow n \\ \searrow \bar{n} \end{array} \xrightarrow{n-2} \cdots \xrightarrow{2} \bar{2} \xrightarrow{1} \bar{1} \quad \text{Pl}(D_n)$$

$$G_2 \quad 1 \xrightarrow{1} 2 \xrightarrow{2} 3 \xrightarrow{1} 0 \xrightarrow{1} \bar{3} \xrightarrow{2} \bar{2} \xrightarrow{1} \bar{1} \quad \text{Pl}(G_2)$$

# Crystal monoids in general

## Combinatorial crystals

- ▶ Crystal basis = finite labelled directed graph, vertex set  $X$ , label set  $I$ , satisfying certain axioms so that Kashiwara operators  $e_i, f_i$  ( $i \in I$ ) are well defined.
- ▶ A weight function  $\text{wt} : X^* \rightarrow P$  where  $P$  is the **weight monoid**.
- ▶ Construct a (weighted) **crystal graph**  $\Gamma_X$  from this data
  - ▶ Vertex set:  $X^*$
  - ▶ Directed labelled edges: determined by  $e_i, f_i$

## Definition (Crystal monoid)

Let  $\Gamma_X$  be a crystal graph. Define  $\approx$  on  $X^*$  where  $u \approx v$  if there is a (weight preserving) isomorphism  $\theta : B(u) \rightarrow B(v)$  with  $\theta(u) = v$ .

Then  $\approx$  is a congruence on  $X^*$  and  $X^* / \approx$  is called the **crystal monoid of  $\Gamma_X$** .

## Known results and our interest

Known results on crystals  $A_n$ ,  $B_n$ ,  $C_n$ ,  $D_n$ , or  $G_2$  and their monoids:

1. Crystal bases - combinatorial description [Kashiwara and Nakashima \(1994\)](#).
2. Tableaux theory and Schensted-type insertion - [Kashiwara and Nakashima \(1994\)](#), [Lecouvey \(2002, 2003, 2007\)](#).
3. Finite presentations via Knuth-type relations - [Lecouvey \(2002, 2003, 2007\)](#).

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**General question:** To what extent can tools from theoretical computer science and formal language theory such as

- ▶ Finite complete (Noetherian and confluent) rewriting systems
- ▶ Finite state automata

be used to compute efficiently with crystals and crystal monoids?

**Our results so far:** give positive answers for all of the above types.

# Automatic structures

## Automatic groups and monoids

**Defining property:**  $\exists$  a regular language  $L \subseteq A^*$  such that every element has at least one representative in  $L$ , and  $\forall a \in A \cup \{\epsilon\}$ , there is a finite automaton recognising pairs from  $L$  that differ by multiplication by  $a$ .

- ▶ Automatic groups
  - ▶ Capture a large class of groups with easily solvable word problem
  - ▶ Examples: finite groups, free groups, free abelian groups, various small cancellation groups, Artin groups of finite and large type, Braid groups, hyperbolic groups.
- ▶ Automatic semigroups and monoids
  - ▶ Classes of monoids that have been shown to be automatic include divisibility monoids and singular Artin monoids of finite type.

Proposition (Campbell, Robertson, Ruškuc & Thomas (2001))

Automatic monoids have word problem solvable in quadratic time.

# Automatic structures for crystal monoids

Theorem (Cain, RG, Malheiro (2015))

The monoids  $\text{Pl}(A_n)$ ,  $\text{Pl}(B_n)$ ,  $\text{Pl}(C_n)$ ,  $\text{Pl}(D_n)$ , and  $\text{Pl}(G_2)$  are all automatic. In particular each of these monoids has word problem that is solvable in quadratic time.

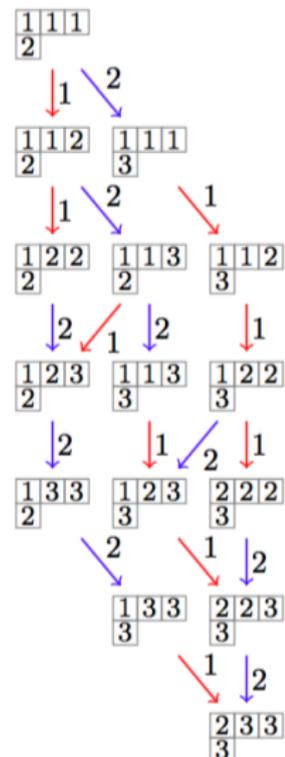
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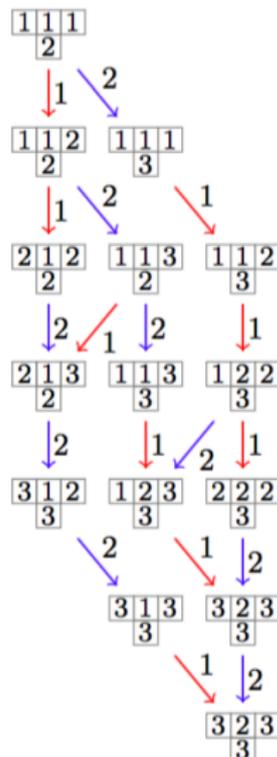
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- ▶ In each case there is a **tableau theory**, and we use a larger generating set  $\Sigma$  of **admissible columns**.
- ▶ For each  $X \in \{A_n, B_n, C_n, D_n, G_2\}$  we construct a **finite complete rewriting system**  $(\Sigma, T)$  that presents  $\text{Pl}(X)$ .
- ▶ A **tabloid** is a sequence of admissible columns. The rewriting system rewrites tabloids  $\rightsquigarrow$  tableaux.
- ▶ Regular language of representatives for the automatic structure is the language of irreducible words of  $(\Sigma, T)$ .
- ▶ Crystal bases theory  $\rightsquigarrow$  reduces problem to  $\rightsquigarrow$  **highest-weight words**.

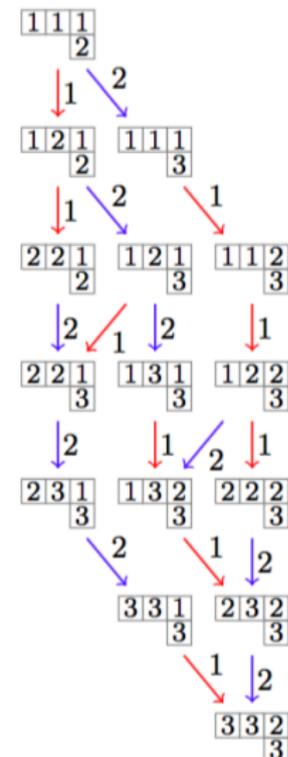
# Kashiwara operators preserve shape



Tableaux of  
the same shape

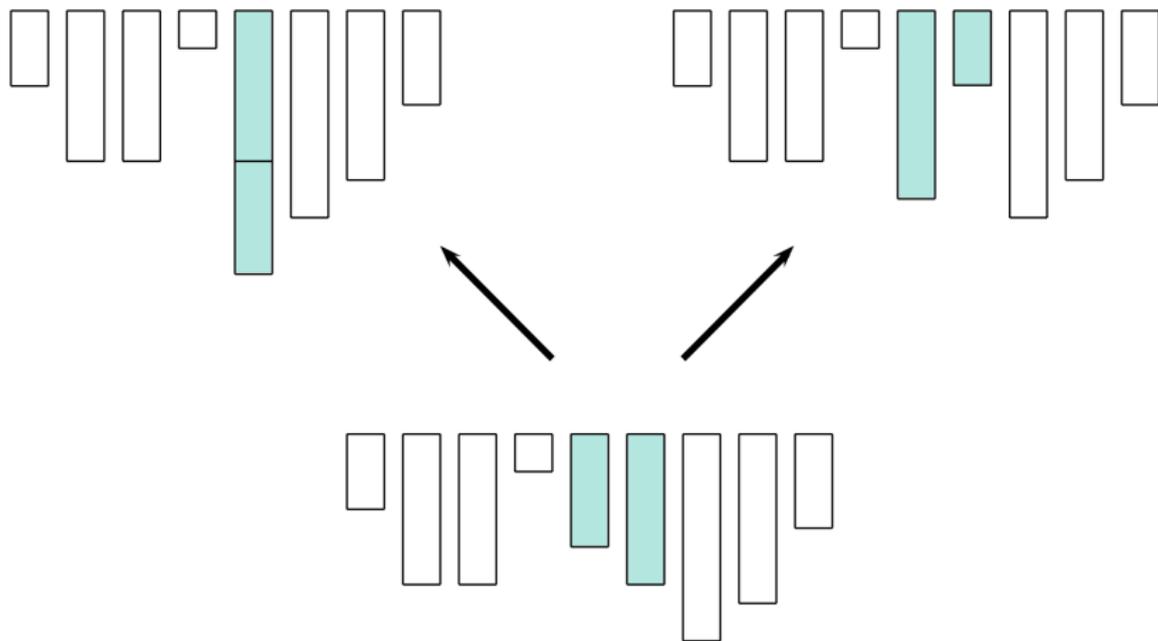


Tabloids of  
the same shape



Tabloids of  
the same shape

## Rewriting tabloids



- ▶ Multiplying two adjacent admissible columns of a tabloid brings us one step closer to being a tableau.

# Crystal-theoretic consequences

## Corollary (Cain, RG, Malheiro (2015))

For the crystal graphs of types  $A_n$ ,  $B_n$ ,  $C_n$ ,  $D_n$ , or  $G_2$ , there is a quadratic-time algorithm that takes as input two vertices and decides whether they lie in the same position in isomorphic components.

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## Ongoing and future work

- ▶ Are there any further consequences to be drawn from our results
  - ▶ For crystals? For Lie theory?
- ▶ Implications for the Plactic algebras of [Littelmann \(1996\)](#)?

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- ▶ Are there any further consequences to be drawn from our results
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We are developing further the general theory of crystal monoids.

- ▶ Examples of crystal monoids (with weight monoid  $\mathbb{Z}^m$ )
  - ▶ free monoids, free commutative monoids, the bicyclic monoid, the Thompson monoid (?), ...
- ▶ Squier graph / crystal graph duality.
- ▶ Finite presentations / complete rewriting systems / automatic structures?
- ▶ What can we say about complexity of the word problem?
- ▶ When do we have a tableaux theory? Highest weight words?